

**ONTARIO
THOROUGHBRED
IMPROVEMENT PROGRAM**



**2016
PROGRAM CRITERIA**

**May 2016
Version 1.0**



OR is the Program Administrator for the Thoroughbred Improvement Program, a component of Ontario's Horse Improvement Program.

Ontario Racing (OR) is a newly-created horse racing industry association, which was established to assume many of the functions of the Ontario Horse Racing division of the former Ontario Racing Commission. The organization is responsible for directing breed improvement programs, setting an annual program of races and purses, attracting new horse owners, building a fan base and connecting the industry with government and the general public.

OR will serve as the voice of the horse racing industry and will work closely with the Ontario Lottery and Gaming Corporation (OLG) towards the integration of horse racing into OLG's gaming strategy.

Information and all required Program application forms are available for viewing and download at www.ontarioracing.com

*ONTARIO RACING
400 - 10 Carlson Court
Toronto, Ontario, M9W 6L2
Telephone: 416-213-1800 Fax: 416-477-5499
Attention: Thoroughbred Improvement Program*

About This Guide

This booklet presents the 2016 participation and reward criteria for the Thoroughbred Improvement Program. This guide also informs participants of changes to Program criteria scheduled for future years, which may affect breeding and broodmare management decisions in 2016.

It is important that those intending to participate in the Thoroughbred Improvement Program read and understand the Program criteria as outlined in this guide, as some participation requirements have changed.

This booklet will be updated annually to inform Program participants of requirements that must be met in order to receive Program benefits.

Ontario Racing, at its sole discretion, may from time to time make changes to the Thoroughbred Improvement Program criteria of any nature and kind whatsoever, including terms, conditions and the purse structure. Program participants agree to be bound by such changes.



PROGRAM HIGHLIGHTS

The Thoroughbred Improvement Program is a component of the Ontario Horse Improvement Program, which offers incentives for the breeding and ownership of Thoroughbred racehorses in Ontario. For 2016, nearly \$15 million will be available in awards and purses plus an additional \$1.9 million in enhanced Breeders Awards, through:

- Breeders Awards
- Canadian Bred Stakes
- Fort Erie Program
- Ontario Bred Purse Bonus
- Ontario Bred Restricted Stakes Racing
- Ontario Sires Stakes
- Sales Stakes Program
- Stallion Awards

Who receives benefits from the Program?

- Breeders and Owners of REGISTERED ONTARIO BREDS
- Owners of ONTARIO SIRES
- Owners of progeny of ONTARIO SIRES

How to participate - Stallion Owners

Stallion Owners must register their stallion by January 15th each year in order for the horse to be recognized as an ONTARIO SIRE. After this date, late registration fees and special conditions apply. To register a stallion as a 2016 ONTARIO SIRE, you must:

- Hold a current Ontario Racing Commission (ORC) or an Alcohol and Gaming Commission of Ontario (AGCO) licence,
- Complete the Thoroughbred Stallion Registration form, and
- Send the form with all required payments to:
Canadian Thoroughbred Horse Society (Ontario Division)
P.O. Box 172, Rexdale ON M9W 5L1
Phone (416) 675-3602 Fax (416) 675-9405

How to participate - Breeders

A BREEDER must declare a mare's eligibility as an ONTARIO RESIDENT MARE, no later than the time of foal registration. To qualify a mare, the BREEDER must:

- Hold a current AGCO/ORC licence (a special Program licence is also available at a reduced cost for BREEDERS who do not hold an AGCO/ORC licence for racing);
- For a 2016 foal, complete the 2016 *Ontario Resident Mare Declaration* form;
- Send the form to: Canadian Thoroughbred Horse Society (Ontario Division)
P.O. Box 172, Rexdale, ON, M9W 5L1
Phone: (416) 675-3602 Fax: (416) 675-9405



TABLE OF CONTENTS

1. THOROUGHBRED IMPROVEMENT PROGRAM 1

2. PARTICIPATING IN THE PROGRAM 3

2.1 Registering a Stallion as an Ontario Sire 3

2.2 Qualifying a Mare as an Ontario Resident Mare 6

3. PROGRAM DEFINITIONS 9

4. PROGRAM INCENTIVES AND REWARDS FOR 2016 12

4.1 Ontario Bred Purse Bonus 12

4.2 Registered Ontario Bred Racing Program 12

4.3 Ontario Sires Stakes Program 12

Eligibility for Ontario Sires Stakes 13

4.4 Registered Canadian Bred Stakes Program 13

4.5 Sales Stakes Program 14

4.6 Fort Erie Program 15

4.7 Restricted Overnight Racing 16

4.8 Breeders Awards 16

4.9 Stallion Awards 21

5. THE HORSE IMPROVEMENT PROGRAM 24

6. FREQUENTLY ASKED QUESTIONS 28

It is the responsibility of the applicant to demonstrate that all the requirements of the Program have been met to the satisfaction of the Program Administrator. The Program Administrator reserves the right to amend, cancel or alter the Program and/or make changes to the terms, conditions, and benefits of any nature and kind whatsoever and, Program participants hereby agree to be bound by such changes



1. THOROUGHBRED IMPROVEMENT PROGRAM

The primary goal of the Thoroughbred Horse Improvement Program is to increase the quality and value of Ontario Bred horses.

- An Ontario Bred Bonus program should increase the value of Ontario Bred yearlings and attract continued investment in the Ontario breeding sector.
- The Ontario Bred Bonus program should support the market for REGISTERED ONTARIO BRED yearlings.
- The Ontario Thoroughbred racing program will continue to include a component of restricted racing (both stakes and allowance races) appropriate to local horse supply.

The Thoroughbred Improvement Program incentives available for 2016 include the following:

Ontario Bred Purse Bonus

Bonus paid to the Owner of REGISTERED ONTARIO BRED horses earning purse money in all open races in Ontario.

Ontario Bred Stakes racing

Restricted stakes races for REGISTERED ONTARIO BRED fillies, restricted stakes races for REGISTERED ONTARIO BRED colts.

Ontario Sires Stakes Program

Series of stakes races for progeny of ONTARIO SIREs.

Canadian Bred Stakes Program

Added money from the Program to stakes races in Ontario for Canadian Bred horses.

Sales Stakes Program

Added money from the Program to stakes races for Canadian Bred CTHS Sales graduates sold during, or prior to, their yearling year, and CTHS Sales Credits for winning trainers of sales stakes races.

Fort Erie Program

Program of incentives for racing at Fort Erie Race Track that includes a CTHS Sales Credit and Owners Bonus program for REGISTERED ONTARIO BRED winners of races at Fort Erie.

Breeders Awards

Awards to the BREEDER OF RECORD of REGISTERED ONTARIO BREDS and/or ONTARIO SIREd horses racing in Ontario and in stakes races within Canada and the United States.



Stallion Awards

Awards to the owner (or lessee) of a recognized ONTARIO SIRE, payable in two categories.

Thoroughbred Improvement Program benefits are available for:

- Ontario Sired Horses;
- ONTARIO FOALED horses, registered with the Canadian Thoroughbred Horse Society (CTHS), born in 2007 or earlier;
- AND, for foals born in 2008 and forward:
 - ONTARIO SIRED horses – registered with the Canadian Thoroughbred Horse Society; **and/or**
 - The offspring of ONTARIO RESIDENT MARES registered as REGISTERED ONTARIO BRED with the Canadian Thoroughbred Horse Society.

A horse can be both ONTARIO SIRED and REGISTERED ONTARIO BRED, and be eligible for incentives attached to both statuses.

Alcohol and Gaming Commission of Ontario (AGCO) or Ontario Racing Commission (ORC) Licence Requirements

As of 2009 and forward, a BREEDER who is declaring an ONTARIO RESIDENT MARE to the Thoroughbred Improvement Program must hold a current AGCO/ORC licence. Stallion owners or lessees and their designated AUTHORIZED AGENTS must hold a current AGCO/ORC licence. BREEDERS, stallion owners or lessees and AUTHORIZED AGENTS who do not require an AGCO/ORC licence for any purpose other than Program participation, may apply for a Program Licence, which will be available for a fee of \$20.

There is no extra charge attached to adding the “Program” category to an existing AGCO/ORC licence. If the only category on an AGCO/ORC licence is the “Program” category, a \$20 fee will apply.

For first time applications for AGCO/ORC licences, an additional \$20 fee will apply.

Contact the AGCO at (416) 326-8700 or 1-800-522-2876, by email at customer.service@agco.ca or online at www.agco.on.ca



2. PARTICIPATING IN THE PROGRAM

In order to participate in the Thoroughbred Improvement Program for 2016 and future years:

Stallion Owners (or Stallion Lessees)

Must:

- Have a valid, current AGCO/ORC licence;
- Register the stallion in the Program as an ONTARIO SIRE for each year (breeding season) in which he is eligible;
- Pay all required fees to the Program attached to registering an ONTARIO SIRE.

Prior to 2010, only an ONTARIO RESIDENT stallion owner or an ONTARIO RESIDENT lessee of a stallion was eligible to register a stallion as an ONTARIO SIRE. Effective for the 2010 breeding season and forward, non-Ontario Resident owners or lessees are eligible to register a stallion provided:

- Owner or lessee holds a valid, current AGCO/ORC licence;
- There is a designated AUTHORIZED AGENT, who is an ONTARIO RESIDENT and holds a valid, current AGCO/ORC licence. The AUTHORIZED AGENT is the party responsible for the stallion (such as the stallion manager, stallion syndicate manager, farm manager, etc.) while the stallion is standing in Ontario. The AUTHORIZED AGENT can complete the stallion registration process.

Breeders

Must:

- Have a valid, current AGCO/ORC licence;
- Declare a mare's eligibility as an ONTARIO RESIDENT MARE for each foal year in which she is eligible.

Foal Owners

Must:

- Register foals with the Canadian Thoroughbred Horse Society according to all CTHS requirements for foal registration. Upon confirmation from the Program, CTHS will record the foal's status as ONTARIO SIRE and/or REGISTERED ONTARIO BRED in the horse's record.

2.1 Registering a Stallion as an Ontario Sire

The Ontario Sire program provides benefits to the owners of ONTARIO SIREs through Stallion Awards, and to the owners of ONTARIO SIREd horses through the Ontario Sires Stakes (OSS) and the overnight restricted racing programs. A horse that is the progeny of an ONTARIO SIRE shall be recorded with the CTHS as ONTARIO SIREd.



Stallion Eligibility

An ONTARIO SIRE is a stallion that has been nominated to, and accepted by, the Program for the breeding year. To be eligible for registration:

- a) The stallion must stand his entire NORTHERN HEMISPHERE STUD SEASON in the Province of Ontario.
- b) If the stallion is leased, either a Stallion Lease Declaration form or a copy of the actual lease, indicating relevant information and signatures, must be submitted to the Program Administrator.

Verification of ownership (or leasing) of the stallion will be confirmed by Canadian Thoroughbred Horse Society records. Where registration documentation verifying the ownership of a stallion is in the process of being transferred, and the Canadian Thoroughbred Horse Society is otherwise unable to verify the ownership of a stallion, other proof of ownership satisfactory to the Program Administrator must be submitted with the application for registration.

If an ONTARIO SIRE is sold, the Program Administrator must be notified of the sale in writing, by the previous owner, within thirty (30) days of the sale. Copies of all transferred registration documents and completed registration forms must be filed with the CTHS.

For stallions under lease, where any of the rights of the lessee are transferred to another party, the Program Administrator must be notified within thirty (30) days of the transfer.

Stallion owners, lessees, and AUTHORIZED AGENTS thereof, signing the 2016 Thoroughbred Stallion Registration form must hold a current valid AGCO/ORC licence.

An AUTHORIZED AGENT may sign on behalf of an owner or lessee, provided that:

- The owner or lessee holds a valid, current AGCO/ORC licence;
- The AUTHORIZED AGENT holds a valid, current AGCO/ORC licence;
- The AUTHORIZED AGENT is an ONTARIO RESIDENT;
- The appropriate AUTHORIZED AGENT documents are recorded on file with the Canadian Thoroughbred Horse Society (CTHS).

Stallion owners or lessees who do not hold a current AGCO/ORC licence in any other category may apply for a "Program" Licence, which is available for a fee of \$20. For first time applications for AGCO/ORC licences, an additional \$20 fee will apply.

Ontario Racing (OR), at its absolute discretion, may waive the breach of any stallion condition, which they do not consider prejudicial to the best interest of the Thoroughbred Improvement Program or the Ontario Sires Stakes program.



Deadlines for Stallion Registration

A Thoroughbred Stallion Registration form is sent by the end of November each year to all CTHS members and known stallion owners and managers for the following year's breeding season. This form must be completed and returned by January 15th of that breeding season for the individual stallion's progeny produced from that season to be eligible for ONTARIO SIRE status (and all relevant benefits), and for the stallion to be eligible to the Stallion Awards program.

A list of eligible ONTARIO SIREs will be published as soon as possible after January 15th. The Program currently maintains the annual list of eligible ONTARIO SIREs.

A stallion is ineligible for registration as a 2016 ONTARIO SIRE if it breeds or has bred any mares during the 2016 calendar year, prior to the approval of the application.

Fees for Stallion Registration

The applicable fees for registering a stallion as an ONTARIO SIRE are:

- \$200 for a New Registration (if not registered as an ONTARIO SIRE in the previous year (2015) ... OR ...
- \$100 for a Renewal Registration (for stallions registered as an ONTARIO SIRE in the previous year (2015).

Late Registration

To register a stallion after January 15th, the required Registration fee is \$500 (for either "New" or "Renewal" registrations)

NOTE: The Program cannot guarantee the inclusion of the names of late registered stallions on the published stallion lists.

How to Register a Stallion

Registration of a stallion as an ONTARIO SIRE for the current breeding season requires completion of the 2016 *Thoroughbred Stallion Registration* form. Completed forms, with payment of all required fees, must be submitted by the required deadline to:

Canadian Thoroughbred Horse Society (Ontario Division)
P.O. Box 172, Rexdale ON M9W 5L1
Phone: (416) 675-3602 Fax: (416) 675-9405

Any applications received by mail that have no postmark, or have an unreadable postmark on the envelope, will only be accepted up to January 15th. After that date the



Late Registration Fee of \$500 will apply. Therefore, to avoid being penalized for the failure of the postal service to properly postmark the envelope carrying the application, it is strongly recommended that these materials be sent by registered mail.

Failure to submit the appropriate registration fee along with the application will result in the foals born to that stallion in 2017 being ineligible for ONTARIO SIRE status (and all relevant benefits).

Copies of the 2016 *Thoroughbred Stallion Registration* form are available on the OR website at www.ontarioracing.com and the CTHS (Ontario Division) website at www.cthsont.com.

2.2 Qualifying a Mare as an Ontario Resident Mare

The Registered Ontario Bred Program provides benefits to owners through the Registered Ontario Bred Restricted Racing Program and the Registered Ontario Bred Purse Bonus Program, and to the BREEDER(S) of REGISTERED ONTARIO BREDS through Breeders Awards.

A REGISTERED ONTARIO BRED is a horse, foaled in the Province of Ontario, out of an ONTARIO RESIDENT MARE, registered with the Canadian Thoroughbred Horse Society.

In order for a horse to be declared a REGISTERED ONTARIO BRED:

- a) **The horse's dam must have been declared as an ONTARIO RESIDENT MARE for the birth year of the foal and meet all eligibility requirements.**
- b) **The foal must be registered with the CTHS.**

Foals born in 2016

Dams of foals born in 2016 can be qualified as ONTARIO RESIDENT MARES through completion of a 2016 *Ontario Resident Mare Declaration* form.

Foals of 2007 or earlier will be considered to have REGISTERED ONTARIO BRED status if they are registered Canadian Bred and foaled in Ontario. Thoroughbreds foaled in Ontario, Canada whose sires and dams are recorded in a stud book approved by the Canadian Thoroughbred Horse Society, are eligible for Canadian Registration. All must have been foaled in Ontario, Canada and have been registered as such with the Canadian Thoroughbred Horse Society, National Office.

For foals born in 2008, 2009, 2010, 2011, 2012, 2013, 2014 and 2015, please refer to the eligibility criteria published in the 2009, 2010, 2011, 2012, 2013, 2014 and 2015 criteria books.



Ontario Resident Mare Eligibility

For 2016, a mare can qualify as an ONTARIO RESIDENT MARE by meeting one of the five following criteria:

1. Resident in the Province of Ontario by October 1, 2015:
 - The date of the mare's arrival in Ontario must be provided on the 2016 Ontario Resident Mare Declaration form. The Program Administrator may request that the BREEDER provide transportation documents to validate the entry date.

2. Resident in the Province of Ontario for 150 consecutive days surrounding date of foaling in Ontario:
 - The date of the mare's arrival in Ontario must be provided on the 2016 Ontario Resident Mare Declaration form. The Program Administrator may request that the BREEDER provide transportation documents to validate the entry date;
 - The Program Administrator may request that the BREEDER provide documentation to verify the mare's residency period in Ontario – such documentation to include copies of records for the mare such as invoices for veterinary services, farrier (blacksmith) services, or boarding services during the declared residency period;
 - If there are special conditions related to an emergency (or health issues) that require the mare to leave the Province, an application must be submitted to the Program Administrator for an exemption from completing the remaining days of residency.

3. Bred back to an ONTARIO SIRE registered with the Program in 2016:
 - The last date bred as would be reported on a Report of Mares Bred filed with The Jockey Club must be provided on the 2016 Ontario Resident Mare Declaration form.

4. Purchased, or RNA (Reserve Not Attained) at a CTHS-recognized sale or auction, and arrives within the boundaries of Ontario no later than thirty (30) days after the date of purchase (or RNA at sale):
 - The name of the sale, sale date and sale hip number of the mare must be provided;
 - The date of the mare's arrival in Ontario must be provided on the 2016 Ontario Resident Mare Declaration form. The Program Administrator may request that the BREEDER provide transportation documents to validate the entry date.

It is the responsibility of the Program participant to contact the CTHS to confirm that the sale in which the mare is entered is a CTHS-recognized sale.



5. The mare was purchased in a bona-fide private sale, arrives in Ontario within thirty (30) days of the date of transaction and remains in Ontario until foaling:
 - The date of the mare's arrival in Ontario must be provided on the 2016 Ontario Resident Mare Declaration form. The Program Administrator may request that the BREEDER provide transportation documents to validate the entry date;
 - A copy of the purchase/transaction receipt (a bill of sale), clearly indicating the date of the transaction, must be provided. The purchase price may be blacked-out on the document;
- OR,
- A copy of the mare's registration papers must be submitted with the 2016 Ontario Resident Mare Declaration form as proof of ownership.

Failure to provide supporting documentation when requested by the Program Administrator could result in the mare being ineligible for ONTARIO RESIDENT MARE status and her offspring being ineligible for REGISTERED ONTARIO BRED status.

By application to the Program Administrator, special considerations may be granted.

Decisions of the Program Administrator may be appealed and will be heard by a panel of Board Member(s) in a public meeting at which the BREEDER, as well as other interested stakeholders, may present in support of the issue. There is no appeal of the panel's decision on Program matters.

Death of the Mare

In the event of the death of the mare prior to completion of the required residency period, a foal from the deceased mare would be eligible for REGISTERED ONTARIO BRED status through application for special consideration by the Program Administrator.

How to Qualify an Ontario Resident Mare

Only the BREEDER or BREEDER'S AUTHORIZED AGENT can qualify the mare by signing and submitting a 2016 *Ontario Resident Mare Declaration* form. If an AUTHORIZED AGENT is signing the forms on behalf of the BREEDER, the BREEDER and AUTHORIZED AGENT must hold a current AGCO/ORC licence. In order to designate an AUTHORIZED AGENT, the BREEDER must submit a completed Appointment of Authorized Agent form to the Program Administrator.

Deadlines for Ontario Resident Mare Qualification

The 2016 *Ontario Resident Mare Declaration* form must be submitted no later than the time of foal registration.



Completed forms must be submitted to:

Canadian Thoroughbred Horse Society (Ontario Division)
P.O. Box 172, Rexdale, ON M9W 5L1
Phone: (416) 675-3602 Fax: (416) 675-9405

Copies of the 2016 *Ontario Resident Mare Declaration* form are available on the OR website at www.ontarioracing.com and on the CTHS (Ontario Division) website at www.cthsont.com.

3. PROGRAM DEFINITIONS

The following definitions will apply for the Thoroughbred Improvement Program for the 2016 Program year. A horse's status is determined by the criteria in effect in its birth year. A horse's status is retained for its entire racing career.

ONTARIO RESIDENT MARE – A broodmare, qualified by the Program, which foals in Ontario during the foaling year and complies with one of the following criteria:

1. Resident in the Province of Ontario by October 1st of the conception year; OR
2. Resident in the Province of Ontario for 150 consecutive days surrounding date of foaling in Ontario; OR
3. Bred back to an ONTARIO SIRE registered with the Program in the year of foaling; OR
4. Purchased, or RNA (Reserve Not Attained) at a CTHS-recognized sale or auction, and arrives within the boundaries of Ontario no later than thirty (30) days after the date of purchase or RNA at sale; OR
5. The mare was purchased in a bona-fide private sale, arrives in Ontario within thirty (30) days of the date of transaction and remains in Ontario until foaling.

Notwithstanding the requirements above, by application to the Program, special considerations may be granted.

NOTE: For 2017 only, Criteria's 1 and 2 in the above definition of an Ontario Resident Mare will be changed to read as follows:

1. Resident in the Province of Ontario by December 1st of the conception year;
2. Resident in the Province of Ontario for 60 consecutive days surrounding date of foaling in Ontario;

ONTARIO FOALED - means a foal born in the Province of Ontario and registered with the Canadian Thoroughbred Horse Society. ONTARIO FOALED horses, registered with the CTHS, born in 2007 or earlier, are considered to be REGISTERED ONTARIO BRED.

REGISTERED ONTARIO BRED - means a foal born in the Province of Ontario, out of an ONTARIO RESIDENT MARE, registered with the Canadian Thoroughbred Horse Society.



ONTARIO SIRE - means a foal sired by a stallion that is recognized by the Thoroughbred Improvement Program as an ONTARIO SIRE in the year of conception. Only foals conceived as a result of a mating between a mare in the Northern Hemisphere and a recognized ONTARIO SIRE during the NORTHERN HEMISPHERE STUD SEASON are eligible to be registered with the Canadian Thoroughbred Horse Society as ONTARIO SIRE. Only ONTARIO SIRE foals are eligible to be nominated to the Ontario Sires Stakes.

ONTARIO SIRE means a stallion registered with the Program, standing in the Province of Ontario for the entire NORTHERN HEMISPHERE STUD SEASON of the year of record.

BREEDER OF RECORD (BREEDER) means the individual, partnership, syndicate, or corporation who is the Owner of the dam at the time of foaling.

ONTARIO RESIDENT means a person whose permanent home or principal home is in Ontario and who resides at that home for no less than 183 days in a calendar year. A stable, farm, corporation or limited partnership, as an ownership group, will be considered an ONTARIO RESIDENT, IF:

- The primary business location for the stable, farm, or limited partnership — or the registered head office for the corporation — is located in the Province of Ontario, AND
- At least one member of the stable, farm, corporation or limited partnership is an ONTARIO RESIDENT.

Should any dispute or disagreement arise, the person (or entity) bears the onus of proving that they fall within the definition of ONTARIO RESIDENT.

DUAL HEMISPHERE STALLION — A stallion that breeds mares situated in both the Northern and Southern Hemispheres during the same calendar year. A DUAL HEMISPHERE STALLION is subject to special rules pertaining to its NORTHERN HEMISPHERE STUD SEASON, as noted in the definition below.

NORTHERN HEMISPHERE STUD SEASON — That period during each calendar year, commencing when the stallion breeds his first mare situated in the Northern Hemisphere, and ending the day the stallion breeds his last mare situated in the Northern Hemisphere. During this entire period, the stallion must remain situated in Ontario to remain eligible for ONTARIO SIRE status. DUAL HEMISPHERE STALLIONS must remain situated in Ontario for at least 183 consecutive days. Time spent by DUAL HEMISPHERE STALLIONS in quarantine in North America can be counted towards their requirement to be in Ontario for 183 days. For breeding stallions that are also racing, or in the event of a medical emergency, OR, at its sole discretion, may waive the requirement to remain situated in Ontario.

LEASES — If a stallion is leased, the term of the lease must incorporate the stallion's entire NORTHERN HEMISPHERE BREEDING SEASON for the Program year. A Stallion Lease Declaration Form, or a copy of the actual lease, must be filed with the Program



Administrator. Providing the Program Administrator is advised by the original lessor (owner) within thirty (30) days, a lease may be transferred to another party for the unexpired term of the lease, provided that the stallion continues to stand in Ontario and the new lessee:

- Holds a valid, current AGCO/ORC licence; and,
- If not an ONTARIO RESIDENT, has a designated AUTHORIZED AGENT who is an ONTARIO RESIDENT and who also holds a valid, current AGCO/ORC licence.

If a mare is leased at time of foaling, the lessee is the BREEDER OF RECORD (BREEDER) of the foal. As the BREEDER, the lessee must comply with the Program's eligibility criteria and is responsible for the submission of all paperwork required or requested by the Program. The records of The Jockey Club and the records of the Canadian Thoroughbred Horse Society, the recognized registry for Thoroughbred horses in Canada, will be the sources of verification and validation for any documentation surrounding ownership – or leases – for horses recognized by the Program.

CANADIAN RESIDENT - means a person whose permanent home or principal home is in Canada and who resides at that home for no less than 183 days in a calendar year. A stable, farm, corporation or limited partnership, as an ownership group, will be considered a CANADIAN RESIDENT, IF:

- The primary business location for the stable, farm, or limited partnership — or the registered head office for the corporation — is located in Canada; AND,
- At least one member of the stable, farm, corporation or limited partnership is a CANADIAN RESIDENT.

Should any dispute or disagreement arise, the person (or entity) bears the onus of proving that they fall within the meaning of CANADIAN RESIDENT.

AUTHORIZED AGENT - means a person who has been designated by a BREEDER, stallion owner or lessee as a responsible party who may act on behalf of the BREEDER, stallion owner or lessee. A person can be designated as an AUTHORIZED AGENT IF:

- He or she holds a valid, current AGCO/ORC licence; AND,
- He or she is an ONTARIO RESIDENT.

An AUTHORIZED AGENT may act on behalf of the BREEDER, stallion owner or lessee for the Thoroughbred Improvement Program provided that the appropriate Horse Improvement Program Authorized Agent documents are on file with the Program Administrator.



4. PROGRAM INCENTIVES AND REWARDS FOR 2016

4.1 Ontario Bred Purse Bonus

The Ontario Bred Purse Bonus is paid to the owner of REGISTERED ONTARIO BRED horses earning purse money in open races in Ontario. A REGISTERED ONTARIO BRED is eligible for the Bonus in any open race in Ontario until such time as it has been claimed. Once a horse has been claimed, it is excluded from the Ontario Bred Purse Bonus program for the rest of its racing career.

In 2016 the Ontario Bred Purse Bonus is worth an additional 20% of the purse won by a REGISTERED ONTARIO BRED horse in an open race in Ontario.

The Ontario Bred Purse Bonus is paid to the owner of eligible REGISTERED ONTARIO BREDS at the time the purse is paid.

4.2 Registered Ontario Bred Racing Program

In 2016, the Registered Ontario Bred Racing Program will consist of restricted stakes races for REGISTERED ONTARIO BRED fillies and REGISTERED ONTARIO BRED colts.

The Registered Ontario Bred Racing Program for 2016 shall include the following races:

REGISTERED ONTARIO BRED FILLY STAKES		
Carotene Stakes	Three-Year-Olds	1 Mile & 1/8th (T)
Fanfreluche Stakes	Two-Year-Olds	6 Furlongs
Jammed Lovely Stakes	Three-Year-Olds	7 Furlongs
Ontario Damsel Stakes	Three-Year-Olds	1 Mile (T)
Ontario Lassie Stakes	Two-Year-Olds	1 Mile & 1/16th
Shady Well Stakes	Two-Year-Olds	6 ½ Furlongs (T)

REGISTERED ONTARIO BRED COLT STAKES		
Achievement Stakes	Three-Year-Olds & Up	6 Furlongs
Clarendon Stakes	Two-Year-Olds	6 Furlongs
Vandal Stakes	Two-Year-Olds	6 1/2 Furlongs (T)

4.3 Ontario Sires Stakes Program

In 2016, the Ontario Sires Stakes Program will consist of a series of stakes races that will be run throughout the racing season at Woodbine and Fort Erie (FE) racetracks. The Ontario Sires Stakes Program for 2016 includes the following races:



ONTARIO SIRES STAKES		
Ballade Stakes	Three-Year-Olds & Up Fillies & Mares	6 Furlongs
Bold Ruckus Stakes	Three-Year-Olds	6 Furlongs (T)
Bull Page Stakes	Two-Year-Old Colts & Geldings	6 Furlongs
Bunty Lawless Stakes	Three-Year-Olds & Up	1 Mile (T)
Classy'n Smart Stakes	Three-Year-Olds & Up Fillies & Mares	1 Mile & 1/16th
Deputy Minister Stakes	Three-Year-Olds	7 Furlongs
Eternal Search Stakes	Three-Year-Old Fillies	1 Mile & 1/16th
Frost King Stakes	Two-Year-Olds	5 1/2 Furlongs
Kingarvie Stakes	Two-Year-Olds	1 Mile & 1/16th
La Prevoyante Stakes	Three-Year-Old Fillies	1 Mile (T)
Lady Angela Stakes	Three-Year-Old Fillies	7 Furlongs
Nandi Stakes	Two-Year-Old Fillies	5 1/2 Furlongs
New Providence Stakes	Three-Year-Olds & Up	6 Furlongs
Overskate Stakes	Three-Year-Olds & Up	7 Furlongs
Passing Mood Stakes	Three-Year-Old Fillies	7 Furlongs (T)
Rainbow Connection S.	Three-Year-Old & Up Fillies & Mares	5 Furlongs (T)
Shepperton Stakes	Three-Year-Olds & Up	6 & 1/2 Furlongs
Sir Barton Stakes	Three-Year-Olds & Up	1 Mile & 1/16th
South Ocean Stakes	Two-Year-Old Fillies	1 Mile & 1/16th
Steady Growth Stakes	Three-Year-Olds & Up	1 Mile & 1/16th
Vice Regent Stakes	Three-Year-Olds	1 Mile (T)
Victorian Queen Stakes	Two-Year-Old Fillies	6 Furlongs
Victoriana Stakes	Three & Up Fillies & Mares	1 Mile & 1/16th (T)

Eligibility for Ontario Sires Stakes

An ONTARIO SIRE horse is eligible for all ONTARIO SIRE restricted races in Ontario, including the Ontario Sires Stakes program, providing it meets eligibility requirements and race entry conditions. See Section 3 “Program Definitions” section for the definition of an ONTARIO SIRE horse.

4.4 Registered Canadian Bred Stakes Program

In addition to incentives for REGISTERED ONTARIO BREDS and ONTARIO SIRE horses, the Thoroughbred Improvement Program provides \$1,090,000 in added money to selected stakes races in Ontario for registered Canadian Bred horses.

Thoroughbreds foaled in Canada, whose sires and dams are recorded in a stud book approved by the National Board of the CTHS (Canadian Thoroughbred Horse Society), are eligible for Canadian Registration. For example, all must have been foaled in Canada and have been registered as such with the Canadian Thoroughbred Horse Society, National Office.



REGISTERED CANADIAN BRED STAKES		
Triple Crown Series		
Breeders' Stakes	Three-Year-Olds	1 Mile & 1/2 (T)
Prince of Wales Stakes	Three-Year-Olds	1 Mile & 3/16th
Queen's Plate Stakes	Three-Year-Olds	1 Mile & 1/4
Filly Triple Tiara Series		
Bison City Stakes	Three-Year-Olds	1 Mile & 1/16th
Wonder Where Stakes	Three-Year-Olds	1 Mile & 1/4 (T)
Woodbine Oaks Stakes	Three-Year-Olds	1 Mile & 1/8th
Registered Canadian Bred Stakes		
Coronation Futurity	Two-Year-Olds	1 Mile & 1/8th
Cup and Saucer Stakes	Two-Year-Olds	1 Mile & 1/16th (T)
Fury Stakes	Three-Year-Old Fillies	7 Furlongs
Plate Trial Stakes	Three-Year-Olds	1 Mile & 1/8th
Princess Elizabeth S.	Two-Year-Old Fillies	1 Mile & 1/16 th
Queenston Stakes	Three-Year-Olds	7 Furlongs

4.5 Sales Stakes Program

In 2016, the Thoroughbred Improvement Program will provide added money to a series of "Sales Stakes" for eligible Canadian Bred horses.

Additionally, the Program provides Sales Credits of \$5,000 per race to the trainer of an eligible sales graduate that wins a Sales Stakes race. To be eligible to earn the credit, the horse cannot have been claimed at any time. These Sales Credits are to be used in the CTHS sales that occur in the calendar year when the credits were earned.

SALES STAKES		
Algoma Stakes	Three-Year-Olds & Up Fillies & Mares	1 & 1/16th
Elgin Stakes	Three-Year-Olds & Up C & G	1 Mile & 1/16th
Halton Stakes	Three-Year-Olds & Up	1 Mile (T)
Kenora Stakes	Three-Year-Olds & Up	6 Furlongs
Muskoka Stakes	Two-Year-Old Fillies	6 & 1/2 Furlongs
Simcoe Stakes	Two-Year-Old Colts & Geldings	6 & 1/2 Furlongs

For further details on the Sales Stakes, please refer to the condition books. Please note that the location and dates of stakes races are subject to change.



4.6 Fort Erie Program

Fort Erie Sales Credits and Owners Bonus Program

In conjunction with Fort Erie Race Track, the Thoroughbred Improvement Program offers a Sales Credit and Owners Bonus program for top end claiming races and allowance races at Fort Erie as follows:

Claiming Races

\$15,000 - \$20,000 Claiming	\$1,000 Sales Credit
\$ 7,500 - \$10,000 Claiming	\$ 750 Sales Credit

Sales Credits will be issued to the Owner of the REGISTERED ONTARIO BRED winners at the above listed claiming levels. These credits will be cumulative and must be used at a CTHS Ontario sale within one sales calendar year after being earned.

Allowance Races

A \$1,000 (winner only) Owners Bonus is available to the winners of Fort Erie allowance races, including the new Fort Erie Ontario Allowance series, provided the winner is a Registered Ontario Bred at the time of the race. In optional claiming races, only those horses running without a claiming price are eligible.

Owners Bonuses will be issued to the Owner of the REGISTERED ONTARIO BRED winner and will be distributed on or around the 15th day of the following month.

Ontario Sired Purse Bonus

There will be a 20% purse bonus for Ontario Sired horses at Fort Erie Race Track, paid out like the purse, in all open races. The only condition is that a horse can only be claimed once, regardless of where it was claimed, in any given calendar year, to be eligible for this bonus. The horse automatically becomes ineligible once claimed for the second time. All horses commence each racing year as eligible for said bonus until such time as it has been claimed for a second time whereby it becomes ineligible.



4.7 Restricted Overnight Racing

For 2016, \$4,200,000 is available for Restricted Overnight racing at Woodbine Racetrack. These races will be limited to Ontario Bred and/or Ontario Sired horses and are not eligible for the Ontario Bred bonus.

The money for Restricted Overnight racing at Fort Erie Race Track, has been reallocated to the Fort Erie Ontario Sired Purse Bonus. In the event that the total Bonus payments are less than \$300,000, Ontario Bred and/or Ontario Sired restricted races may be carded.

4.8 Breeders Awards

The Thoroughbred Improvement Program pays Breeders Awards to the BREEDER OF RECORD of:

- REGISTERED ONTARIO BREDS and/or ONTARIO SIREN horses racing in Ontario;
- REGISTERED ONTARIO BREDS and/or ONTARIO SIREN horses racing in Graded and Open Stakes races within Ontario;
- REGISTERED ONTARIO BREDS and/or ONTARIO SIREN horses racing outside of Ontario in Graded and Open Stakes races within North America (minimum purse of \$75,000).

For any calendar year, there is a cap of \$100,000 in Breeders Awards available to be distributed to any one individual BREEDER OF RECORD. The BREEDER OF RECORD eligible to receive Breeders Awards is the individual, partnership, syndicate or corporation who is the owner of the dam at the time of foaling.

Special Circumstances

Awards will be distributed to eligible BREEDER(S) OF RECORD as listed on the actual Registration Papers of the horse, unless the Program Administrator is otherwise notified in writing by all parties listed as BREEDER(S) OF RECORD. If the BREEDER OF RECORD is deceased, the awards will be made payable to the Estate of said BREEDER, unless the Program Administrator is otherwise notified in writing by the party legally authorized to administer the BREEDER'S estate.

In the event a race ends in a dead heat for 1st place, the award will be split 50/50 to both BREEDERS, provided that both are eligible. If only one of the parties is eligible, the entire award will be distributed to the one eligible BREEDER.



Breeders Awards – Racing in Ontario

The BREEDER OF RECORD is eligible for Breeders Awards for racing in Ontario as outlined below.

For foals of 2007 and earlier years to qualify for the REGISTERED ONTARIO BRED portion of the Breeders Award, the horse must have been registered as a Canadian Bred foaled in Ontario. If the horse was foaled in Ontario but not registered through the CTHS, then the BREEDER is not eligible for an award. For foals of 2007 and earlier, if the BREEDER registers the horse as a Canadian Bred after the race has been run, the horse is not eligible for that race's Breeders Award but will be eligible for Breeders Awards earned on races after its registration as a Canadian Bred.

For foals of 2008 and future years, only eligible REGISTERED ONTARIO BRED horses will earn the REGISTERED ONTARIO BRED portion of Breeders Awards.

To receive the ONTARIO SIRE portion of the Breeders Awards, the eligible horse must have been sired by an eligible ONTARIO SIRE in the year of conception. Breeders Awards earned for racing in Ontario are paid monthly and issued on the 15th of the month following the month in which the award was earned.

For racing in Ontario, Breeders Awards are payable for:

- Claiming races with claiming prices of \$12,500 or higher 1st through 3rd
- Claiming races with claiming prices of \$10,000 and below Winner Only
- Allowance races run in Ontario 1st through 3rd
- Eligible Ontario Stakes races 1st through 3rd

2016 Premier Breeders Awards Distribution (Ontario Bred / Ontario Sired)

WOODBINE

Grade 1 Open Stakes:

- 1st place: up to \$16,500 (\$11,000 if not Ontario Sired)
- 2nd place: up to \$8,250 (\$5,500 if not Ontario Sired)
- 3rd place: up to \$4,125 (\$2,750 if not Ontario Sired)

Triple Crown, Triple Tiara, Princess Elizabeth, Coronation Futurity & Cup & Saucer:

- 1st place: up to \$13,500 (\$9,000 if not Ontario Sired)
- 2nd place: up to \$6,750 (\$4,500 if not Ontario Sired)
- 3rd place: up to \$3,375 (\$2,250 if not Ontario Sired)

Grade 2 Open Stakes:

- 1st place: up to \$13,500 (\$9,000 if not Ontario Sired)
- 2nd place: up to \$6,750 (\$4,500 if not Ontario Sired)
- 3rd place: up to \$3,375 (\$2,250 if not Ontario Sired)



Grade 3 Open Stakes:

- 1st place: up to \$10,800 (\$7,200 if not Ontario Sired)
- 2nd place: up to \$5,400 (\$3,600 if not Ontario Sired)
- 3rd place: up to \$2,700 (\$1,800 if not Ontario Sired)

Ungraded Open Stakes:

- 1st place: up to \$8,700 (\$5,800 if not Ontario Sired)
- 2nd place: up to \$4,350 (\$2,900 if not Ontario Sired)
- 3rd place: up to \$2,175 (\$1,450 if not Ontario Sired)

Closed Stakes:

- 1st place: up to \$7,200 (\$4,800 if not Ontario Sired)
- 2nd place: up to \$3,600 (\$2,400 if not Ontario Sired)
- 3rd place: up to \$1,800 (\$1,200 if not Ontario Sired)

Ontario Sire Stakes:

- 1st place: \$6,000 (\$3,000 if not Ontario-Bred)
- 2nd place: \$3,000 (\$1,500 if not Ontario-Bred)
- 3rd place: \$1,500 (\$750 if not Ontario-Bred)

Open Allowance:

- 1st place: up to \$5,100 (\$3,400 if not Ontario Sired)
- 2nd place: up to \$2,550 (\$1,700 if not Ontario Sired)
- 3rd place: up to \$1,275 (\$850 if not Ontario Sired)

OSS Allowance - Maiden/NW2:

- 1st place: \$3,600 (\$1,800 if not Ontario-Bred)
- 2nd place: \$1,800 (\$900 if not Ontario-Bred)
- 3rd place: \$900 (\$450 if not Ontario-Bred)

\$62,500 Claimers & up:

- 1st place: up to \$5,100 (\$3,400 if not Ontario Sired)
- 2nd place: up to \$2,550 (\$1,700 if not Ontario Sired)
- 3rd place: up to \$1,275 (\$850 if not Ontario Sired)

\$50,000 Claimers:

- 1st place: up to \$4,200 (\$2,800 if not Ontario Sired)
- 2nd place: up to \$2,100 (\$1,400 if not Ontario Sired)
- 3rd place: up to \$1,050 (\$700 if not Ontario Sired)

\$40,000 Claimers:

- 1st place: up to \$3,900 (\$2,600 if not Ontario Sired)
- 2nd place: up to \$1,950 (\$1,300 if not Ontario Sired)
- 3rd place: up to \$975 (\$650 if not Ontario Sired)



\$32,000 Claimers:

- 1st place: up to \$3,300 (\$2,200 if not Ontario Sired)
- 2nd place: up to \$1,650 (\$1,100 if not Ontario Sired)
- 3rd place: up to \$825 (\$550 if not Ontario Sired)

\$25,000 Claimers:

- 1st place: up to \$2,700 (\$1,800 if not Ontario Sired)
- 2nd place: up to \$1,350 (\$900 if not Ontario Sired)
- 3rd place: up to \$675 (\$450 if not Ontario Sired)

\$20,000 Claimers:

- 1st place: up to \$1,860 (\$1,240 if not Ontario Sired)
- 2nd place: up to \$930 (\$620 if not Ontario Sired)
- 3rd place: up to \$465 (\$310 if not Ontario Sired)

\$16,000 Claimers:

- 1st place: up to \$1,380 (\$920 if not Ontario Sired)
- 2nd place: up to \$690 (\$460 if not Ontario Sired)
- 3rd place: up to \$345 (\$230 if not Ontario Sired)

\$12,500 Claimers:

- 1st place: up to \$1,080 (\$720 if not Ontario Sired)
- 2nd place: up to \$540 (\$360 if not Ontario Sired)
- 3rd place: up to \$270 (\$180 if not Ontario Sired)

\$10,000 Claimers:

- 1st place only: up to \$810 (\$540 if not Ontario Sired)

\$8,000 Claimers & Under:

- 1st place only: up to \$540 (\$360 if not Ontario Sired)

FORT ERIE

Fort Erie Allowance

- 1st place: up to \$2,700 (\$1,800 if not Ontario Sired)
- 2nd place: up to \$1,350 (\$900 if not Ontario Sired)
- 3rd place: up to \$675 (\$450 if not Ontario Sired)

Fort Erie OSS Allowance

- 1st place: up to \$1,800 (\$900 if not Ontario-Bred)
- 2nd place: up to \$900 (\$450 if not Ontario-Bred)
- 3rd place: up to \$450 (\$225 if not Ontario-Bred)



Fort Erie \$16,000 Claimers & Up

1st place: up to \$1,380 (\$920 if not Ontario Sired)
2nd place: up to \$690 (\$460 if not Ontario Sired)
3rd place: up to \$345 (\$230 if not Ontario Sired)

Fort Erie \$12,500 Claimers

1st place: up to \$1,080 (\$720 if not Ontario Sired)
2nd place: up to \$540 (\$360 if not Ontario Sired)
3rd place: up to \$270 (\$180 if not Ontario Sired)

Fort Erie \$10,000 Claimers

1st place only: up to \$810 (\$540 if not Ontario Sired)

Fort Erie \$8,000 Claimers & Under

1st place only: up to \$540 (\$360 if not Ontario Sired)

Breeders Awards – Stakes Racing Outside of Ontario (North America)

As of 2007, Breeders Awards are paid at year-end to Graded and Open Stakes winners (1st through 3rd) outside of the Province of Ontario within Canada and the United States.

For 2016, \$150,000 in out-of-province Breeders Awards will be paid to all Black type winners (1st through 3rd) of Graded Stakes and Open Stakes with a minimum purse of \$75,000. Awards will be distributed to each eligible recipient by dividing the \$150,000 by the number of points earned. These awards are considered as Breeders Awards and are, therefore, included in the tabulation of the cap of \$100,000 to any individual BREEDER. The point system is as follows:

OUT-OF-PROVINCE BREEDERS AWARDS				
Race Type		1 st	2 nd	3 rd
Grade 1	ON	1200	600	300
	OS	600	300	150
Grade 2	ON	1000	500	250
	OS	500	250	125
Grade 3	ON	800	400	200
	OS	400	200	100
Open Stakes	ON	640	320	160
	OS	320	160	80

Note: There is a cap of \$100,000 in Breeders Awards distribution to any one individual BREEDER.



4.9 Stallion Awards

The Thoroughbred Improvement Program pays Stallion Awards to the owner (or lessee) of a recognized ONTARIO SIRE.

For 2016, \$320,000 has been allocated for distribution through the Ontario Stallion Awards Program. It should be noted that this program, and annual budgets, are subject to annual review and revision.

Ontario Stallion Awards are payable in two categories:

- Stallion Year End Points Awards; and,
- Year End Top Stallion Awards.

A total of \$200,000 has been allocated in 2016 budgets for Stallion Awards payable as Stallion Year End Points Awards. An additional \$120,000 has been allocated in 2016 budgets for Year End Top Stallion Awards.

Stallion Year End Points Awards

In 2016, \$200,000 will be distributed on the basis of points earned by the progeny of the stallion during the calendar year ending December 31st. Points will be earned in Allowance through to Stakes level races run in Ontario and graded/group Stakes races in the rest of Canada and worldwide. Points will be awarded to an eligible stallion through his progeny earning points as follows:

STALLION AWARDS			
Race Type	1st	2nd	3rd
Grade 1	20	12	8
Grade 2	16	10	7
Grade 3	12	8	6
Open Stake	10	6	5
Closed Stakes	8	5	4
OS Stake	6	4	3
Open Woodbine Allowance	3		
OS Woodbine Allowance	2		
Fort Erie Allowance	1		

Note: Grade 2 Stakes points will include points awarded from the following races: Canadian Triple Crown Series, Canadian Filly Triple Tiara Series, Princess Elizabeth, Coronation Futurity and Cup & Saucer.

At the end of the each calendar year, the \$200,000 pool will be divided by the total points awarded during that calendar year by all eligible stallions and multiplied by the number of points awarded to the eligible stallion.



In order to participate in the Stallion Year End Points Awards Program in each racing year, the following eligibility requirements must be met:

- a) Active stallions must be nominated by submission of the current year's Thoroughbred Stallion Registration form, which must be received by the Program Administrator no later than January 15th of each breeding year.
- b) The stallion must be standing in Ontario in the year of nomination.
- c) The progeny of the stallion must have been conceived in Ontario.
- d) If a stallion has died or is retired from active stud duty, he may be kept eligible by the submission of the Application to Receive Stallion Awards form, along with the appropriate fee, each year, until his crops cease to race. An affidavit must be signed by the stallion's owner(s), lessee(s) or AUTHORIZED AGENT, verifying that the stallion has died (or been retired) and stood his last season at stud in Ontario.
- e) Stallion Awards will not be paid for active stallions that have permanently departed Ontario. Stallions that have departed Ontario and return at a later date will be eligible for Stallion Awards ONLY for those years in which they were registered as an ONTARIO SIRE.
- f) Stallion year end points will be earned by Ontario conceived progeny of eligible stallions each time they win an allowance race or have finished first, second or third in a stakes race in Ontario or open graded or group stakes in the rest of Canada and worldwide. The awards will be paid out at the end of each year based upon the points system detailed above.
- g) Stallion Awards will be distributed to the owner(s), lessee(s) or AUTHORIZED AGENTS thereof as designated on the current year's Thoroughbred Stallion Registration form. If private arrangements have been made for the distribution of Stallion Awards to a party other than the owner(s), lessee(s) or AUTHORIZED AGENTS designated on the current year's Thoroughbred Stallion Registration form, a copy of the agreement, signed by all parties involved, must be submitted to the Program Administrator, along with an Application to Receive Stallion Awards form, indicating the party to whom awards are to be distributed. In the event that there is a change of ownership which effects a change in the party to whom the Stallion Awards are distributed, the nominator must forward to the Program Administrator a signed affidavit stating the change of ownership, along with an Application to Receive Stallion Awards form, which indicates the party to whom the awards are to be distributed.
- h) Eligible stallion owner(s), lessee(s) or AUTHORIZED AGENT(s) of owner(s) or lessee(s) must abide by all of the applicable rules of the Thoroughbred Improvement Program.
- i) All decisions and determinations regarding the entitlement and distribution of the Stallion Year End Points Awards Program shall be made by the Program Administrator and shall be final.



Year End Top Stallion Awards

For 2016, a total of \$120,000 will be awarded to the ONTARIO SIREs whose eligible Ontario conceived progeny achieved the highest North American earnings during the calendar year ending December 31st. The Year End Top Stallion Awards will be distributed as follows:

Top Sires Award

1st - \$40,000, 2nd - \$25,000, 3rd - \$15,000

Top 1st Crop Ontario Sire Award

1st - 10% of eligible Ontario Conceived progeny earnings to a cap of \$40,000

The Year End Top Stallion Awards will be based on total North American earnings (with U.S. and Canadian dollars at par) for all eligible progeny of an eligible stallion based upon The Jockey Club Information System records. To receive any of the above listed Year End Top Stallion Awards, eligible stallions must have minimum eligible progeny earnings of \$100,000 in the current racing year.

In order to participate in the Year End Top Stallion Awards program, the following eligibility requirements must be met:

- The Year End Top Stallion Awards program will be subject to the same eligibility requirements as set out in subparagraphs a) through e) inclusive of the Stallion Year End Points Awards program;
- Stallions that have left the Province of Ontario and returned at a later date will not be eligible for the Top 1st Crop Ontario Sire Year End Award;
- To receive any of the above listed Year End Top Stallion Awards, eligible stallions must have minimum eligible progeny earnings of \$100,000 in the current racing year.

The Year End Top Stallion Awards will be distributed at the end of each year to owner(s), lessee(s) or AUTHORIZED AGENT(s) of owner(s) or lessee(s) of record. In the event that there is a change of ownership which effects a change in the party to whom stallion awards are distributed, the nominator must forward to the Program Administrator a signed affidavit stating the change of ownership, along with an Application to Receive Stallion Awards form, which indicates the party to whom awards are to be distributed.

Eligible Stallion owner(s), lessee(s) or AUTHORIZED AGENT(s) of owner(s) or lessee(s) will abide by all of the applicable rules of the Thoroughbred Improvement Program.

All decisions and determinations regarding the entitlement and distribution of the Year End Top Stallion Awards Program shall be made by the Program Administrator and shall be final.



5. THE HORSE IMPROVEMENT PROGRAM

The Horse Improvement Program (HIP) is a racing and breeding incentive program that was established in 1974. The Program plays a direct role in increasing employment in the racing industry, helping Ontario farms remain economically stable and encouraging ownership in Ontario-produced horses.

The purpose of the Horse Improvement Program is:

- To benefit the Ontario racing industry in general and to grow the industry in a sustainable and responsible way;
- To support the Ontario breeding sector;
- To invest in equine research; and,
- To promote participation in the Ontario Horse Improvement Program.

The Horse Improvement Program is funded through a pari-mutuel tax reduction on wagering. The budget for the 2016 Horse Improvement Program, including both the Standardbred Improvement Program and Thoroughbred Improvement Program, is valued at approximately \$30,000,000.

Administration of the Horse Improvement Program

Prior to 1997, the Ontario Racing Commission (ORC) administered the various program elements of the Horse Improvement Program. In conjunction with the many changes that occurred as a result of the reduction in the provincial tax on wagering, responsibility for the administration of the Horse Improvement Program was delegated to the Ontario Horse Racing Industry Association (OHRIA) effective January 1, 1997. The Horse Improvement Program was then administered by OHRIA, in accordance with the Rules of Administration approved by the Commission. In May of 2005, administration of the Horse Improvement Program was transferred back to the ORC.

In 2006, the Governing Board of the ORC mandated a review of the Horse Improvement Program, to determine if the Program was continuing to be effective within the context of the current environment for breeding and racing in Ontario. The process for the review of the Horse Improvement Program involved broad-based industry consultations and background research during the December 2006 through March 2008 period.

The ORC released the *Final Report of the Review of the Horse Improvement Program* on June 20, 2008, which presents the results of the comprehensive review. The *Final Report* includes summaries of the industry consultations and the resulting recommendations received from the industry for restructuring the Horse Improvement Program to meet the revised goals and objectives for the Program.



With the many changes that have occurred within Government, responsibility for the administration of the Horse Improvement Program has been delegated to Ontario Racing (OR) effective April 1, 2016.

Goals and Objectives of the Horse Improvement Program

The review of the Horse Improvement Program identified the following goals and objectives for the Program:

- Rewarding excellence;
- Developing programs that support a strong and sustainable live racing product in Ontario, which attracts enhanced wagering;
- Developing and supporting programs that foster, sustain and support investment within the breeding sector;
- Supporting the Ontario breeding sector as a priority with programs and race conditions that create a market for Ontario offspring (yearlings in particular);
- Supporting the Ontario breeding sector over the long-term, with programs that:
 - Encourage Investment in the breeding sector
 - Encourage the purchase of Ontario offspring
 - Maximize the value of Ontario offspring
 - Include long-term returns to owners of Ontario-bred horses, Ontario breeders and Ontario stallion owners
 - Support improvements in quality, not just increased quantity in breeding programs
 - Encourage improvements to the broodmare band, as well as the stallion population
- Establishing conditions for allocation of HIP funds for overnight races;
- Investing in equine research;
- Promoting Ontario's Horse Improvement Program to:
 - Brand the Program and provide education on program benefits and eligibility requirements
 - Introduce our products to new people.

Program funds entrusted to OR are audited and reviewed annually by an independent accounting firm.

Roles and Responsibilities

The Program Administrator

OR, as the Program Administrator for the Horse Improvement Program, is responsible for the development and implementation of all Program elements to achieve the identified goals and objectives of the Program.



OR is responsible for approving all Program criteria, eligibility requirements and rules and procedures surrounding implementation and administration of the Program. As Program Administrator, OR has responsibility for:

- Ensuring the integrity of all payments to eligible recipients of HIP incentive benefits ;
- Setting targets (expected performance outcomes and results) for Program expenditures;
- Developing a program for evaluating and measuring the results of Program implementation;
- Reporting on Program performance to industry and government.

The Horse Improvement Program includes incentives and benefits for breeders, stallion owners, and owners of active racehorses. OR, as Program Administrator, is responsible “To ensure that all those eligible are paid and, that all those paid are eligible”.

OR is committed to working with industry stakeholders to develop a process for annual review of Program performance and outcomes, and a process for involving industry stakeholders in revisions to Program elements and long-term strategic planning for the Program. An Advisory Group is in place for both the Thoroughbred and the Standardbred sectors. These groups are made up of industry stakeholders who are responsible for:

- Working with the Program Administrator to review the annual performance of HIP in the context of the identified goals and objectives of the Program
- Providing recommendations (and input) to the Program Administrator on the annual budget and Program elements for the next year
- Providing recommendations to the Program Administrator on the annual Program eligibility requirements, and Program Criteria documents
- Developing recommendations on Program targets and benchmarks
- Developing recommendations to the Program Administrator on program changes for future years, that reflect changes in the business environment for the Ontario breeding and/or racing sector
- Developing recommendations on marketing and communication programs surrounding HIP.

OR has specific responsibilities for:

- Recording and publicizing a list of horses that have been registered with, and accepted by, the Program as ONTARIO SIREs for each breeding year ;
- Recording eligible ONTARIO RESIDENT MARES for each foaling year;
- Authorizing the designation of a foal’s (horse’s) lifetime status for HIP benefits on registration records or certificates issued by Standardbred Canada or the Canadian Thoroughbred Horse Society;



2016 PROGRAM CRITERIA

- Informing all racetracks of a horse's eligibility for racing incentives that are funded by HIP and distributed through purse accounts;
- Developing a Horse Improvement Program promotional website to support investment in Ontario's breeding and racing sectors.

OR will rely on, and work in partnership with, pedigree registries, Ontario racetracks, and industry associations to administer the Horse Improvement Program.

All declarations signed on Program application forms are legally binding statements. Any individual or corporate entity enrolled in the Program who violates the conditions or intent of the Program, will be subject to investigation, judicial review and potential sanctions and disciplinary action, as may be deemed appropriate by OR.

Pedigree Registries

OR will rely on registration records maintained by the Canadian Thoroughbred Horse Society (CTHS) as the source of valid information related to the identification, ownership, or ownership leases for Thoroughbred horses recognized by the Program.

OR will authorize the CTHS to designate a horse's lifetime status for HIP benefits on registration records or certificates issued for eligible foals/horses, as

REGISTERED ONTARIO BRED AND/OR ONTARIO SIRE

OR will require the CTHS to provide current listings of horses registered as REGISTERED ONTARIO BRED and/or ONTARIO SIRE.

OR will require the CTHS to provide such other activity reports as are required to support reporting on Program performance to industry and government.

Ontario Racetracks

One of the goals of the Horse Improvement Program is to encourage the adoption of common definitions and terminology to be shared by the Program Administrator, the racetracks and the breeder and horsemen's associations in Ontario.

Where race conditions in Ontario restrict eligibility to Ontario progeny, or where additional purse incentives are available for Ontario progeny, it is expected that the HIP definitions for ONTARIO SIRE and REGISTERED ONTARIO BRED will apply to such races.

Where HIP benefits are distributed to eligible owners of active racehorses through purse account payments, OR will provide Ontario racetracks validation for eligibility for such incentives.



When applicable, Ontario racetracks will be responsible for:

- Validating eligibility for HIP restricted races;
- Validating eligibility for HIP funded purse incentives to owners;
- Reporting to OR, race entries and race results for HIP funded races, or races that receive HIP funded bonuses or added money;
- Reporting to OR the results of races that impact a horse's future eligibility for Program benefits (i.e. claiming races);
- Other racing activity reports as are required to support reporting on Program performance to industry and government.

Industry Association Partners

Ontario Racing will partner with industry associations wherever possible, to maximize the effective administration of the Horse Improvement Program, and the value of HIP expenditures. OR may enlist industry associations such as CTHS (Ontario Division) or Standardbred Canada, to provide services that support the administration of the Program. The provision of Program services by a designated industry association will operate under terms specified in a contractual agreement between OR and the industry association.

6. FREQUENTLY ASKED QUESTIONS

What happens if the criteria changes for REGISTERED ONTARIO BRED, and ONTARIO SIRE status?

A horse's status is recorded in its registration records with the CTHS, based on the criteria in effect in its birth year. This status is maintained for the horse's full racing career.

I have a two-year-old that I am prepping to race in 2016. I bought him from an Ontario breeder. How do I find out his status in the Program?

For foals of 2007 and earlier, their status is as recorded on their registration papers. For foals of 2008 and later, the Program publishes a list of horses registered with the Program which may be found at www.ontarioracing.com and www.cthsont.com.

Can a non-Ontario resident benefit from the Program?

Racing opportunities and purse bonuses attached to the status of the horse make it beneficial to own a REGISTERED ONTARIO BRED or ONTARIO SIRE horse. Breeders Awards and Stallion Awards make it beneficial to own an ONTARIO RESIDENT MARE and an ONTARIO SIRE.

When will I receive my Breeders Awards payments?



Breeders Awards are issued on the 15th day of the month following the month in which the award was earned.

What happens if I sell my Ontario Resident Mare? Who gets the awards and bonuses?

Breeders Awards are payable to the BREEDER OF RECORD - the owner or lessee of the mare at the time of foaling.

I have a filly that was racing in Ontario in 2015 and I want to retire her to the breeding shed. Is she an Ontario Resident Mare?

NO. You must qualify your mare as an ONTARIO RESIDENT MARE, by following the procedures outlined in Section 2 and you must ensure that she meets the eligibility criteria.

My mare had a foal in 2016 but I have not qualified her with the Program. She has lived in Ontario all her life. What status will her 2016 foal have?

Her foal will ONLY be eligible for REGISTERED ONTARIO BRED status if you complete the 2016 *Ontario Resident Mare Declaration* form, and submit it no later than the time of foal registration.

What happens if I sell my Ontario Sire during the breeding season?

The stallion can maintain its ONTARIO SIRE status if the stallion remains in the Province of Ontario, AND the previous owner provides written notification of the sale to the Program Administrator within thirty (30) days of the sale. Copies of all transferred registration documents and completed registration forms must be filed with the CTHS. (See Section 2 "Participating in the Program" for obligations for non-Ontario Residents.)

What happens if offspring racing in 2016 are from a retired or deceased Ontario stallion?

If the stallion was registered as an ONTARIO SIRE for the conception year of the offspring, the offspring are eligible for benefits for their entire racing career.

If my ONTARIO SIRE leaves Ontario during the breeding season, am I still eligible for Stallion Awards?

No. To maintain his ONTARIO SIRE status, a stallion must stand the entire NORTHERN HEMISPHERE STUD SEASON in Ontario for the year in which he is registered as an ONTARIO SIRE.

If I have a foal born prior to 2016 that is not registered with the CTHS, does it qualify for payable Program awards?

No. All foals must be registered with the CTHS and meet eligibility requirements in order to receive Program awards.



If I do not hold a current valid AGCO/ORC licence, am I still eligible for Breeders Awards?

Yes. You do not require an AGCO/ORC licence simply to receive Breeders Awards. However, BREEDERS must hold a current, valid AGCO/ORC licence for each year in which they declare an ONTARIO RESIDENT MARE.

Can a non-Ontario resident participate in the Resident Mare Program?

You do not need to be an ONTARIO RESIDENT to qualify a broodmare as an ONTARIO RESIDENT MARE. The mare must meet the requirements for residency in Ontario, and must foal in Ontario.

When is the earliest date that I can bring my mare into the Province of Ontario so that she can begin her 150-day residency requirement?

A mare can begin fulfilling her residency requirement at any time provided that she remains in the Province of Ontario for at least 150 consecutive days surrounding foaling in Ontario.

Can my stallion leave and breed mares in the United States and still be considered an ONTARIO SIRE?

No, your stallion must remain standing in Ontario for his entire NORTHERN HEMISPHERE STUD SEASON.

What happens if my mare dies before the 150 days is completed?

If the dam dies prior to completion of the required residency period, a foal from the deceased mare would be eligible for ONTARIO BRED status through application for special consideration by the Program Administrator.

What happens if there is some emergency that means my mare has to leave the province?

By application to the Program Administrator, special considerations may be granted.

What happens if the Program Administrator has ruled that my mare is ineligible as an ONTARIO RESIDENT MARE?

A BREEDER may submit an application to OR requesting an exemption. The exemption request will be heard by a panel of Commission Member(s) in a public meeting at which the BREEDER, as well as other interested stakeholders, may present in support of the application for exemption. There is no appeal of the panel's decision on Program matters.

I own a stallion that was registered as an ONTARIO SIRE prior to 2016. The stallion is still active but not standing in Ontario. Am I eligible for Stallion Awards earned by the progeny he sired when he was an Ontario Sire?

NO. An active stallion must be standing in Ontario and be registered as an ONTARIO SIRE in order to receive Stallion Awards.



If the stallion I owned is now deceased or is retired from stud duty, but stood his last stud season in Ontario as an ONTARIO SIRE, am I still eligible to receive Stallion Awards?

YES, provided you submit the *Application to Receive Stallion Awards* form each year, along with the applicable fee.

I have a stallion that was registered as an ONTARIO SIRE for several years and then was sent to Kentucky for stud duty. He has now returned to Ontario and I have registered him as an ONTARIO SIRE for 2016. Am I eligible to receive Stallion Awards for the progeny produced from the years he stood in Ontario, prior to going to Kentucky?

YES. You are eligible for Stallion Awards for the progeny produced each year that the stallion was registered as an ONTARIO SIRE, provided that the stallion is registered for the current year.

I have a new stallion that I have registered for 2016. The stallion was previously registered as an ONTARIO SIRE under different ownership. Who gets the Stallion Awards for the progeny he sired before I bought him?

Stallion Awards are payable to the nominator on the current year's *Thoroughbred Stallion Registration* form, unless a written agreement stipulating otherwise, and signed by all parties involved, is submitted to the Program Administrator. This means that if you nominate him as an ONTARIO SIRE for 2016 and indicate yourself as the recipient of Stallion Awards, you will be eligible to receive all Stallion Awards earned by his progeny in 2016.

I've sold my ONTARIO SIRE to another party but the sale agreement specifies that the Stallion Awards will continue to be distributed to me. How do I ensure that the Program distributes Stallion Awards according to our contract?

You must notify the Program Administrator of the change of ownership within thirty (30) days of the sale, AND you must submit a copy of the agreement that specifies the arrangements for Stallion Awards distribution, which includes the signatures of both parties. An *Application to Receive Stallion Awards* form must also be submitted, indicating the party to whom awards are to be distributed.

For additional information, please refer to the OR website at www.ontarioracing.com or contact the Program Administrator.



NOTES





Thoroughbred Improvement Program Contact Information

Program Administrator

ONTARIO RACING
400 - 10 Carlson Court, Toronto, Ontario, M9W 6L2
Attention: *Thoroughbred Improvement Program*
Telephone: 416-213-1800 Fax: 416-477-5499
Email: info@ontarioracing.com
Website: www.ontarioracing.com

Ontario Resident Mare Program

CTHS (ONTARIO DIVISION)
P.O. Box 172
Rexdale, Ontario M9W 5L1
Attention: *Ontario Resident Mare Program*
Telephone: 416-675-3602 Fax: 416-675-9405
Email: cthsont@idirect.com
Website: www.cthsont.com